

**THE CLIENT REGISTRATION FORM FOR CORPORATE, FIRMS & OTHERS**  
**[Business Rules 27 (b)]**

(This information Is the sole property of the member and would not be disclosed to anyone unless required law)

To,

**Swastika Commodities Pvt. Limited**

48, Jaora Compound,

MYH Road,

Indore-452001

Exchange	Membership No.	FMC Registration No.
Multi Commodity Exchange of India Limited (MCX)	35120	MCX/TCM/CORP/1419
National Commodities & Derivatives Exchange (NCDEX)	00844	NCDEX/TCM/CORP/0832
Indian Commodity Exchange Limited (ICEX)	1255	ICEX/TCM/CORP/0259

We request you to register us as your client / constituent and enable us to trade in the Commodity Derivative Segment pursuant to the agreement entered with you. We have read the rules, bye laws and regulations of the exchanges pertaining to these segments and agree to abide by them. In this regard we give the following informations:-

ACCOUNT DETAILS	
CLIENT TYPE	Pvt. Ltd. Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Trust <input type="checkbox"/> Others (please Specify) _____
MODE OF TRADING	Online <input type="checkbox"/> Off-Line <input type="checkbox"/>
CONSTITUENT PROFILE	Producer <input type="checkbox"/> Trader <input type="checkbox"/> Consumer <input type="checkbox"/>

CLIENT DETAILS		(Please fill all the details in CAPITAL LETTERS only)	
Name of Corporate/Firm			
Registered Office Address			
	City:	State:	Pin Code <input type="text"/>
Correspondence Address (if different)			
	City:	State:	Pin Code <input type="text"/>
Telephone No. (!)		Telephone No. (2)	
Fax Number		Mobile No.	
E-mail ID:			
PAN No. (Compulsory)	<input type="text"/>	<input type="text"/>	<input type="text"/>



Information about Income, Investment, Trading Experience and Trading Preference			
Financial Details (Income Range)	Below 1 Lac <input type="checkbox"/>	1 Lac – 5 Lac <input type="checkbox"/>	5Lac – 10 Lac <input type="checkbox"/>
	10 Lac – 25 Lac <input type="checkbox"/>	25 Lac & Above <input type="checkbox"/>	
Annual Income (In Rs. Lacs) (Last Three Years)	2009-10: _____ 2008-09: _____ 2007-08: _____		
Net Worth As on : _____	Upto 10 Lacs <input type="checkbox"/>	10 Lac – 50 Lac <input type="checkbox"/>	50Lac – 1 Crore <input type="checkbox"/>
	1 Crore - 5 Crore <input type="checkbox"/>	5 Crore & Above <input type="checkbox"/>	
Investment / Trading Experience (Years)	_____ Years in Commodities, _____ Years in Other Investment related fields		
Trading Preference	MCX <input type="checkbox"/>	NCDEX <input type="checkbox"/>	ICEX <input type="checkbox"/> Others: _____
Details of any Action Taken by the SEBI / FMC / Stock Exchange / Commodity Exchange / Any other Authority: Yes <input type="checkbox"/> No <input type="checkbox"/>			
(If Yes, Please provide details) : _____ _____			
Sales Tax Registration Details			
Local Sales Tax State Registration No.,		Validity Date	
Central Sales Tax Registration No.,		Validity Date	
Demat Account Details (through which transactions will be generally be routed)			
Depository Participant Name			NSDL CDSL
Address			
DP ID		Client ID	
Details of Registration with other Exchange Members			
Name of Broker: .....		Client Code: .....	
Name of the Exchange: MCX <input type="checkbox"/>		NCDEX <input type="checkbox"/> ICEX <input type="checkbox"/>	
Reference Introducer			
Introduced by another constituent/director or employee of trading member / any other person (please specify)			
Name of the Introducer : _____			
Address: _____			
PAN No. (Copy Attaches) _____			(Signature)
Details of the Employee who interviewed the client			
Name : _____			
Designation : _____			(Signature)
Declaration			
We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting we are aware that we may be held liable for it. I enter into agreement to abide by all the Terms and Condition of the exchange.			

<b>Seal &amp; Signature of the Authorised Signatory</b>			
<b>Passport Size Photograph of Authorised Signatory</b>	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)
<b>Date:</b>		<b>Place:</b>	

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**[FOR OFFICE PURPOSE]**

Client Code: \_\_\_\_\_ Client Name: \_\_\_\_\_

Verified by: \_\_\_\_\_  
(Name)

Authorized by : \_\_\_\_\_  
(Name)

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<b>Documents Required for Account opening</b>		
<b>For Corporate Body</b>		
Sr. No.	Particular	Enclosed Yes/No
1	PAN Card of Company, Directors And Authorised Signatory	
2	Proof of Bank account of the company	
3	Proof of Demat account of the company (Optional)	
4	Address proof of the company	
5	MOA/AOA	
6	Copy of Latest Income Tax Return	
7	Financial Statement for Last three years (If Newly Incorporated then net worth certificate duly certified by the CA id required)	
8	Latest Shareholding pattern of the company on their letter head mentioning Name of the shareholder, Address, No of share held, paid up value etc.	
9	Copy of the Board resolution approving participation in equity/derivative trading and names of the authorized person for dealing.(Annexure-1)	
10	List of Present directors with DIN on the letter head of the company.	
11	Photograph of Authorized Signatory and whole time Director on KYC Form	
<b>For Partnership Firm</b>		
1	PAN Card of Partnership Firm and all the Partners	
2	Proof of Bank account of the Partnership Firm	
3	Proof of Demat account in the name of all partners as Joint holders (Optional)	
4	Address proof of the Partnership Firm and Partners	
5	Copy of the Partnership Deed	
6	Details of all partners (include Name, Address, Sharing details etc on the letterhead of the partnership firm)	
7	Letter of Partnership firm (letterhead) authorizing partners to execute all the deeds / operations with the Exchange on behalf of firm (format enclosed as Annexure-2)	
8	Financial Statement for Last three years (If Newly established firm then net worth certificate duly certified by the CA id required)	
9	Declaration for considering Demat Account in the joint name of the partners as per below given format)	
<b>Note:- All the copy of the documents mention above should be self certified by the authorized signatory/Partner along with the seal of the company/Partnership.</b>		

*Annexure-1*

**TO BE GIVEN BY COMPANY ON ITS LETTERHEAD  
Format of Board Resolution**

**CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF M/s \_\_\_\_\_ HELD ON \_\_\_\_\_ AT REGISTERED OFFICE OF THE COMPANY AT \_\_\_\_\_.**

“RESOLVED THAT a Client Registration Account of the Company for dealing in the buying and selling of the commodity in the commodity market, be opened in the names and style of M/s \_\_\_\_\_, having its registered office at \_\_\_\_\_ with Swastika Commodities Pvt. Ltd., Indore.

“RESOLVED THAT for this purpose and on behalf of the company one of jointly / severally of the following authorized signatories of the company and to act upon any instruction so given relating to the said account whose specimen signatures as appended hereunder.

Sr. No.	Name	Designation	Specimen Signature (With stamp)
(1)	_____	_____	_____
(2)	_____	_____	_____
(3)	_____	_____	_____

“RESOLVED THAT Any one of the aforesaid Directors of the company, be and hereby severally authorized to make an application for opening the said account and to do all other formalities that are necessary for opening the said Client Registration Account or Trading Account including certifying Memorandum and Article of Association of the Company etc.

FOR and on behalf of (COMPANY NAME)

**Board of Directors:**

Name	Designation	Specimen Signature (With stamp)
_____	_____	_____
_____	_____	_____

(Board of Directors (Minimum two Directors other than Authorize Signatory)/Chairman/Company Secretary)

**Annexure-1**

**TO BE GIVEN BY PARTNERSHIP FIRM ON ITS LETTERHEAD**

To,  
**Swastika Commodities Pvt. Ltd.,**  
48, Jaora Compound,  
MYH Road,  
Indore-452001

Date \_\_\_\_\_

**Dear Sir/Madam**

Sub: Operations of our client / trading account with you as our share broker.

Ref: Trading Account No. \_\_\_\_\_

We the partners of M/s \_\_\_\_\_, a partnership Firm incorporated under the provisions of Indian Partnership Act, do hereby agree, undertake and authorize as under:

1. We are carrying on our business at \_\_\_\_\_.
2. We desire to open a trading account with you for dealing in the buying and selling of the commodity in the commodity market and accordingly are ready to fill-up and submit your Client Registration form in the name of our firm for the purpose of buying and/or selling and otherwise dealing or investing in commodity market through you.
3. We the undersigned partners, be and is here by authorize Mr. \_\_\_\_\_, one of the partners / managing partners of our firm to sign, execute and submit all applications, forms, documents, agreements etc. as also to issue all the instructions for all our transactions with you as our share broker for securities trades, funds and investment etc. in our firm's name.
4. We hereby agree and undertake that all the transactions carried out by you as per the instructions whether oral (telephonic or personal) and/or written, given by our aforesaid authorized partner, shall be binding upon our firm as well we all the partners individually and personally and our respective estates shall be personally liable for any dues to be paid to your, in case the firm's estate falls short of, for carrying out such transactions in securities market.
5. We also hereby undertake to inform you in writing regarding any change in constitution or authority, signed by all the partners for the time being.

**Signature of all Partners with Stamp:**

Name of Partner	Signature with Seal
1.	
2.	
3.	
4.	