

## BUSINESS ASSOCIATES REGISTRATION FORM

॥ सर्वे भवन्तु धनिनः ॥



**The Shades  
Of Prosperity**

 **swastika investmart**  
THE WEALTH MAKERS

Group : Member of NSE, BSE, MCX, NCDEX, MCX-SX, ICEX DP : NSDL & CDSL

## DOCUMENTS REQUIRED

You are required to provide the following documents for your Business Associate Registration :

1. Copy of **PAN card** of individual (at least 21 years).
2. Copy of Mark sheet for **Qualification proof** of individual/all partners/all directors (at least HSC passed).
3. **Proof of address (Residential and office)** of the applicant entity (trade name) – This is a mandatory field and the address on the proof needs to match with that of the application. Only the latest Telephone Bill, Electricity bill, valid ration card, valid Passport, valid Driving License, Aaddhar Card and bank statement of the last one month from a Nationalized Bank is acceptable.
4. **2 passport size photographs** of individual/all partners/all directors.
5. **Proof of experience certificate** - This is a mandatory in cases where qualification of Individual/Partner/Director is less than HSC. Experience of atleast 2 years in the capacity of employee/dealer in capital market in the name of Individual/Partner/Director is only acceptable.
6. **For Authorised Person Registration Equity Segment** : Cheque of Rs. 2280/- (Fees + ST) per exchange per segment for NSE, BSE, FO & Currency.
7. **For Authorised Person Registration Commodity Segment** : Cheque of Rs. 1140/- (Fees + ST) per exchange per segment for MCX, NCDEX.
8. Name change affidavit (if applicable) on Rs. 20/- (in M.P. on Rs. 50/-) stamp paper duly notarized.
9. **In case of Partnership firm as an Applicant:**
  - a) Registration Certificate of the firm.
  - b) Partnership deed duly certified by Chartered Accountant including the words commodity derivative and stock broker.
10. **In case of Corporate Applicant:**
  - a) Duly signed copy of Board resolution for authorization to sign sub-broker documents.
  - b) Certified true copy of ROC Form No. 32 / DIR -12 (If applicable) for appointment and resignation of directors.
  - c) A copy of Memorandum & Articles of Association duly certified by Chartered Accountant.
  - d) List of all directors of the Company with DIN numbers.
  - e) Copy of PAN Card of the Company.
11. Other Mandatory fields
  - a) Voice logger machine
  - b) Photo of the business associates place of working.

**APPLICATION FORM FOR BUSINESS ASSOCIATES / AUTHORISED PERSON REGISTRATION**

To,  
**Swastika Investmart Ltd. / Swastika Commodities Pvt. Ltd.**  
**Indore**

Dear Sir,

I/We hereby request you to register/appoint me/us as your SEBI / FMC registered authorized person for providing Investing / Trading facility at various exchanges to our clients as per following details :

Passport Size  
Photo

TYPE OF ASSOCIATION		EXCHANGE SEGMENT					
Master Franchisee	<input type="checkbox"/>	NSE CM	<input type="checkbox"/>	BSE FO	<input type="checkbox"/>	MCX	<input type="checkbox"/>
Business Associate	<input type="checkbox"/>	NSE FO	<input type="checkbox"/>	MCX-SX COS	<input type="checkbox"/>	NCDEX	<input type="checkbox"/>
Introducer	<input type="checkbox"/>	NSE CDS	<input type="checkbox"/>	MCX-SX FO	<input type="checkbox"/>		
		BSE CM	<input type="checkbox"/>	MCX-SX CM	<input type="checkbox"/>		
NAME OF APPLICANT							
STATUS (INDIVIDUAL/FIRM/COMPANY)							
APPLICANT/FIRM/CO. NAME							
FATHER'S/HUSBAND'S NAME							
PAN NO.							
DATE OF BIRTH/INCORPORATION							
OFFICE ADDRESS : _____							
_____							
_____ Phone : _____							
Mobile : _____ E-mail : _____							
<b>Office Premises Status</b>							
Rented / Owned	Name of Owner			Acquired Since			
RESIDENCE / PERMANENT ADDRESS : _____							
_____							
_____ Phone : _____							

\_\_\_\_\_ (S-1)  
 [ Business Associate ]

**DETAILS OF OTHER CONTACT / CONCERN PERSON**

NAME OF THE PERSON : \_\_\_\_\_

ADDRESS : \_\_\_\_\_

\_\_\_\_\_ Phone \_\_\_\_\_

PHONE No. (O) \_\_\_\_\_ (R) \_\_\_\_\_

MOBILE No. (M) \_\_\_\_\_ E-mail \_\_\_\_\_

**APPLICANT EXPERIENCE IN STOCK MARKET**

SEGMENT	Cash	Derivative	Commodities
YEARS OF EXPERIENCE			

**Terms of Deposit**

(As mentioned in MOU)	Rs.
In Form of Shares	Share Value
Deduction from Brokerage	Rs.
CTCL ID Trading Software Charges	Rs. _____ per month

**Brokerage Sharing / Service Charges :**

Segment	Swastika Share	Authorised Person Share	Minimum to Swastika	Minimum to Client
CAPITAL MARKET	Intraday			
	Delivery			
FUTURE				
OPTIONS				
CURRENCIES				
COMMODITY				

Other Term &amp; Conditions \_\_\_\_\_

Name \_\_\_\_\_

Branch \_\_\_\_\_

\_\_\_\_\_  
[ Signature of Introducer ]

(S-2)

\_\_\_\_\_  
[ Business Associate ]

OC-P-2

**PAST EXPERIENCE AS BUSINESS ASSOCIATES**

Member Name	Date of Acquiring Sub Brokership	Date of Closing Brokership
No. of Clients	Brokerage Generated in Last Year	Turnover (Rs. In Crore) (Separately for each segment)

**NCFM EXAM PASSED: YES/NO If Yes Details**

Segment	Cash	Derivative	Currency NISM	BSE
Regn No.				
Year of Passing				

**BANK ACCOUNT**

NAME OF THE BANK : _____
BRANCH ADDRESS : _____
BANK A/C NO. _____ A/C TYPE : _____ IFSC CODE _____

**OCCUPATION**

<b>BUSINESS</b> : Name of firm/Company : _____
Office Address : _____
_____ Year of Establishment : _____ Net worth : _____
<b>SERVICE</b> : Name of firm/Company : _____
Office Address : _____
_____ Date of Joining : _____ Annual Income : _____

**REFERENCES**

<b>Reference1</b> : Name of the Person : _____
Address _____
Relation _____ Occupation _____ Contact No. _____
<b>Reference2</b> : Name of the Person : _____
Address _____
Relation _____ Occupation _____ Contact No. _____

I/We confirm that the above particular are true & correct to the best of my knowledge and belief.

Date : \_\_\_\_\_

Place : \_\_\_\_\_

Name \_\_\_\_\_  
Signature \_\_\_\_\_  
(S-3)

[ Business Associate ]

OC-P-3

## ANNEXURE A – (Separately from each Director / Partner)

A.	Personal Details		
Sr. No.	Checklist	Particulars	
1.	Trade Name of Auth. Person		
2.	Name of the Director / Partner		
3.	Residential Status	Indian/NRI :	
4.	Photograph	Attached	Yes <input type="checkbox"/> No <input type="checkbox"/>
5.	Name		
6.	PAN	<input type="text"/>	Date of Birth <input type="text"/>
7.	Designation	<input type="text"/>	Qualification <input type="text"/>
8.	Residential/ Permanent Address		
9.	Name		
10.	PAN	<input type="text"/>	Date of Birth <input type="text"/>
11.	Designation	<input type="text"/>	Qualification <input type="text"/>
12.	Residential/ Permanent Address		
13.	Name		
14.	PAN	<input type="text"/>	Date of Birth <input type="text"/>
15.	Designation	<input type="text"/>	Qualification <input type="text"/>
16.	Residential/ Permanent Address		
17.	Passport No	Proof Attached Yes <input type="checkbox"/> No <input type="checkbox"/>	
18.	Bank A/c. No		
19.	DP details	Proof Attached Yes <input type="checkbox"/> No <input type="checkbox"/>	
20.	Details of annual income for past three year		
21.	Defaulter in any Exchange/ SEBI/ any criminal case pending		
22.	Not appearing in SEBI debarred Directors/ Vanishing Companies List		
23.	Experience in stock market		
24.	Name of relatives/ associated with/ friends with Swastika Group		
25.	Other business (if any)		

Date : \_\_\_\_\_

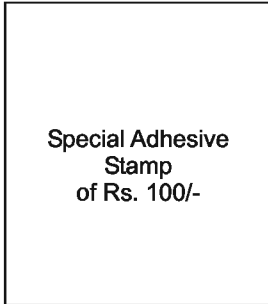
Place : \_\_\_\_\_

[ Business Associate ]

OC-P-4

**AGREEMENT**

This Agreement is executed at \_\_\_\_\_ this \_\_\_\_\_ of \_\_\_\_\_, 201\_\_\_\_, between  
**M/s. SWASTIKA INVESTMART LTD.** a Public Limited Company having its Registered Office at **1st Floor Bandukwala Building, British Hotel Lane, Fort, Mumbai - 400 001 and Corporate office at 48, Jaora Compound, M.Y.H. Road, Indore - 452 001** hereinafter referred to as the **“Member”** of the **One Part;**



**And**

Mr./Ms. \_\_\_\_\_ S/o/W/o/D/o \_\_\_\_\_

Proprietor/Partner/Director of M/s \_\_\_\_\_ having place of business at \_\_\_\_\_

\_\_\_\_\_ (Sign.)  
hereinafter referred to as the **“Business Associate”** of the **Other Part.**

**WHEREAS**

- The Member is the trading and clearing Members of Bombay Stock Exchange Ltd (SEBI Registration No. INB/F 011129732), National Stock Exchange of India Ltd (SEBI Registration No. INB/F/E 231129736) and MCX Stock Exchange Ltd (SEBI Registration No. INE 261129736, INB/F 261129739 (hereinafter referred to as “Exchanges”) and carrying on the broking and investment business in stock, shares, equity derivatives and currencies derivatives etc.
- The Business Associate is desirous to work as an Authorized Person with the Member and has represented to the Member that he has requisite infrastructure and competence to the satisfaction of Member to work as intended and will cater to the requirements pursuant to the rules framed by the Member.
- The Business Associate is desirous to provide the required business premise situated at \_\_\_\_\_ along with all infrastructural facilities i.e. trained staff, computer with power back up, Television, furniture, connectivity, mode of communication etc. to the Member to conduct of business efficiently.
- Member, for the purpose of setting up their branch, have agreed to use and occupy the said premise along with infrastructure.

**NOW THEREFORE** this agreement witnessed and it is hereby agreed by and between the parties hereto as under: -

\_\_\_\_\_  
[ Swastika Investmart Ltd. ]

\_\_\_\_\_  
[ Business Associate ]

**1. GENERAL**

The Business Associate shall, within the scope of the authority given under these presents, introduce and refer the clients/ authorized persons/channel partners, who are desirous of dealing through the Member and to participate in various services, offered by Member from time to time and will not solicit business of any other Member broker. The Business Associate will do ethical business practice and follow all the rules and regulations laid down by the Member.

**2. DEPOSIT**

The Business Associate will submit and maintain an interest free Security Deposit of Rs. \_\_\_\_\_/- (Rupees \_\_\_\_\_ only) with the Member in the form of cash and/or securities as per following schedule:

- 1. Initial Deposit : Rs. \_\_\_\_\_ Cheque No. \_\_\_\_\_ Date \_\_\_\_\_
- 2. Shares and Securities of Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_) (Securities shall be valued as per exchange norms)
- 3. Monthly Deduction from generated revenue : \_\_\_\_\_

(Sign.)

**3. DEALING AND TRADING SERVICES**

The Business Associate will introduce clients to the Member and will provide the dealing and trading services to them on the trading platform of the Member established in the premises of the Business Associate. The Business Associate will execute the trades and manage the margins/limits/risk/loss of his/their clients. Further the Business Associate will introduce minimum \_\_\_ clients per month/quarter. The Business Associate will fill the introduction and witness column in the client Registration form of all the clients introduced by him.

**4. SERVICE CHARGES**

The Member will reimburse Service Charges for different services and infrastructure provided by the Business Associate as per **Annexure-I**.

The amount of service charges can renegotiated and changed as per mutual consent and MEMBER has its right to increase or decrease or withdraw the same according to the services provided by the Business Associate.

The Business Associate agrees that Service Charges paid by the Member shall be final and the Business Associate shall not dispute for the same.

**Payment of service charges:** The service charges shall be remitted to Business Associate between 1st to 5th of the succeeding month subjected to complete compliance and no shortage (clients of respective Business Associate in debit, with no or less stock).

**5. LIMITS AND MARGIN**

\_\_\_\_\_  
[ Swastika Investmart Ltd. ]

\_\_\_\_\_  
[ Business Associate ]



The Business Associate will collect up-front margins levied by the Exchanges from the clients in the form of approved securities or account payee cheque (payable in the name of member only) and deposit same to the Member. Further the business Associate is also responsible to collect applicable margins in future as and when payable. The Member will provide limit as per the norms of the Exchange.

#### **6. OBLIGATION OF BUSINESS ASSOCIATE**

- i. Immediately on signing of this Agreement, to register himself/herself/itself with SEBI as a sub-broker (SEBI Sub-Broker Registration) or Authorized Person by completing all necessary formalities for all broking services by complying with all necessary legal and operational formalities as may be required by the SEBI, Stock Exchanges and / or any other regulatory authority. The Business Associate agrees to pay the Sub-broker registration & renewal fee payable to the Securities and Exchange Board of India (SEBI)/ Exchange on or before the same becoming payable, and in case of any failure on part of the business associate; Member may make the same on behalf of the business associate and recover/debit the same from his account. Further it is clearly understood by the business associate, that the renewal fee, as prescribed shall be payable by the business associate, up to the date of cancellation of his Sub-broker license, by SEBI/Exchange, in its record.
- ii. To make good to the Member, the defaults made by clients introduced by or doing business through the Business Associate, upon demand in that behalf by the Member and until the Business Associate pays such amounts or causes the clients to pay such amounts, the Member may without prejudice to its other rights and remedies under law as against the client and the Business Associate and in its sole discretion withhold either in full or in part any service charges, brokerage and other sums due and payable by it to the Business Associate under these presents and/or adjust such amounts in default against the said amounts payable by the Member to the Business Associate. It is agreed that the withholding or non-payment of service charges, brokerage and other amounts pursuant to this clause shall not be regarded as breach of Business Associate's obligations under this Agreement.
- iii. That the Member or its authorized representative / agent shall have the right, at all times, to inspect / review all books of accounts including but not limited to all supporting vouchers, invoices and delivery notes and such other records as it may require, without prior notification to the Business Associate. The Business Associate agrees that it shall assist the Member or its authorized representative / agent in undertaking such inspection. Such inspection shall, however, be carried out during business hours only.
- iv. The Business Associate shall not send any communication to the clients on its letter heads as the representatives of Member. The Business Associate shall also refrain from sending any SMSs, reports, messages etc. to its clients which does not have prior approval of the Member. Any loss arising out of this action of the Business Associate shall amount to misconduct and all losses / damages shall be recoverable from the Business Associate.
- v. The Business Associate shall ensure that the e-mail Id's and mobile nos. of all the respective clients are submitted / attested in the KYC, so as to enable Member to send Digital Contract Notes, Ledger Statements and other Circulars, etc to all clients directly from Head Office.
- vi. The Business Associate possesses the requisite knowledge of the operating systems, procedures & practices prevalent in the securities market and also those specific to Member, and agrees to accept and abide by them. The Business Associate is fully aware that it will be the responsibility of the Business Associate to maintain safe custody and strict confidentiality of the Login ID's and Passwords for various Trading Terminal/s, Back office terminals, Research or any related online access to Information system of Member. The Business Associate shall be responsible for any consequences including financial loss arising due to negligence or misuse in this regards.

#### **7. RESTRICTION ON BUSINESS ASSOCIATE**

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[ Swastika Investmart Ltd. ]

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[ Business Associate ]

During the continuance of this Agreement, the Business Associate agrees:

- i. Not to do or omit to do or permit or suffer any act or thing which may bring disrepute to the Member or damage or conflict with its business interests.
- ii. Not to provide any extension of any Trading Terminal provided by the Member.
- iii. Not to send any communication, electronically or physically prepared by the Business Associate as his own, to the clients as a representative of Member.
- iv. Not to engage whether by itself or in association with any other person, firm or company, in any capacity whatsoever in any business or render services specified hereunder or similar nature thereto.
- v. Not to be interested or concerned in any business which in the sole opinion of the Member is similar to or competitive or in conflict with the business of Member.
- vi. Not to employ or seek to employ any person who is at that time or has at any time previously been employed by the Member, and not to solicit customers or former customers of the Member nor divert or seek to divert any customer from the Member.
- vii. Not to collect any amount other than account payee cheque/demand draft/pay order in favour of the member and not to deal in CASH.
- viii. Not to get transferred, client's share/securities in any demat account, other than the member's account taken as a representative of the Member.

#### **8. LOSS AND DEFAULT**

The Business Associate will manage the risk as whole and shall take the responsibility to indemnify the Member for any type of losses, defaults incurred directly and indirectly to the Member by the clients introduced by the Business Associate.

The Business Associate is liable to make good, all the losses incurred by any of the defaults made by clients introduced by or doing business through the Business Associate, upon demand in that behalf by the Member and until the Business Associate pays such amounts or causes the clients to pay such amounts, the Member may without prejudice to its other rights and remedies under law as against the client and the Business Associate and in its sole discretion, withhold either in full or in part any service charges, brokerage and other sums due and payable by it to the Business Associate under these presents and/or adjust such amounts in default against the said amounts payable by the Member to the Business Associate. Member shall be entitled to charge interest up to @ 24% per annum to the Business Associate on the amount remain outstanding and it shall also be entitled to confiscate or adjust the security deposit and sell the securities; if any lying with the member, against any outstanding belongs to the Business Associate.

#### **9. REFUND OF DEPOSIT**

In case of surrender of the terminal or stopping of the business by Business Associate, Member will refund the interest fee security deposit after adjusting the applicable processing fees and any other relevant expenses.

#### **10. TERMINATION OF AGREEMENT**

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[ Swastika Investmart Ltd. ]

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[ Business Associate ]

The agreement can be terminated from either side by giving 30 days' notice. However, the Member reserves the right to immediately terminate the agreement if the Business Associate or the clients managed by him fail to comply with the rules, regulation and by laws of SEBI, NSE or, BSE and terms and conditions laid down by the Member and doing any activity which is detrimental to the business of the Member

**11. JURISDICTION**

Courts in Indore shall have exclusive jurisdiction to entertain and try all disputes arising out of this agreement by and between the parties hereto. The parties agree to exclude all Courts outside Indore from the purview of this Agreement.

**IN WITNESS WHEREOF THE PARTIES HERETO HAVE HEREUNDER SET AND SUBSCRIBED THEIR RESPECTIVE HAND AND SEALS THE DAY AND YEAR FIRST HEREINABOVE WRITTEN**

**Signed and Delivered by the within named Member, M/s. Swastika Investmart Ltd.**

**Signed and Delivered by the within named Business Associate,**

By \_\_\_\_\_  
(Authorised Signatory)

By \_\_\_\_\_  
(Authorised Signatory)

**Witness 1:**

**Witness 2:**

Signature:

Signature:

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Date :**

**Place :**

### ANNEXURE - I

#### **Brokerage Sharing / Service Charges :**

The service charges shall be remitted to business associate as per following details :

Segment	Swastika Share	Authorised Person Share	Minimum to Swastika	Minimum to Client
CAPITAL MARKET	Intraday			
	Delivery			
FUTURE				
OPTIONS				
CURRENCIES				

(Sign.)

- The above service charges sharing are decided at lower rate on the basis of the business/ turn over commitment given by the Business Associate. The Member will review the business after \_\_\_\_\_ months, and on the basis of review, sharing will be changed, (if the turnover commitment is not fulfilled), and the new sharing will be as per following:
- If the committed turnover i.e. of Rs. \_\_\_\_\_ per day/month is not achieved within \_\_\_\_\_ months, than \_\_\_\_\_% on square up/future transaction and \_\_\_\_\_% on delivery transactions will be payable to the Member.
- Further, if the Member's share is less than Rs. \_\_\_\_\_ per month, than \_\_\_\_\_% of gross revenue or \_\_\_\_\_% on square up/future transaction and \_\_\_\_\_% on delivery transactions, will be payable to the Member.
- The difference between the total revenue generated from the clients introduced by the Business associate and above mentioned members share will be payable to the Business Associate after deducting the applicable TDS and other charges for front office license i.e. ID charges @ Rs. : \_\_\_\_\_ per segment, per month.
- There will be no sharing of service charges in Business Associate's self family code.
- In addition to above, if any client of the Business Associate trades online through internet or trades directly on the trading terminal of the Member or the Business associate does not connect the allotted CTCL ID for more than 60% of trading days for complete trading hours then 20% of gross revenue is payable to the Member towards administrative expenses.
- If the Business associate introduces any authorized person/Franchises, then \_\_\_\_\_ % of net revenue generate from the Channel Partner, is payable to the Business Associate.

\_\_\_\_\_  
[ Swastika Investmart Ltd. ]

\_\_\_\_\_  
[ Business Associate ]

**AGREEMENT**

This Agreement is executed at \_\_\_\_\_ this \_\_\_\_ of \_\_\_\_\_, 201 , between  
**M/s SWASTIKA COMMODITIES PVT. LTD.** a Private Limited Company having its Registered  
Office at at 48, Jaora Compound, M.Y.H. Road, **Indore – 452 001** hereinafter referred to as the  
“**Member**” of the **One Part;**

Special Adhesive  
Stamp  
of Rs. 100/-

**And**

**Mr./Ms.** \_\_\_\_\_ **S/o/W/o/D/o** \_\_\_\_\_

Proprietor/Partner/Director of M/s \_\_\_\_\_ having place of  
business at \_\_\_\_\_

hereinafter referred to as the “Business Associate” of the Other Part.

(Sign.)

**WHEREAS**

- The Member is the MEMBER of the Multi Commodity Exchange of India Ltd. (MCX) FMC Reg. No. MCX/TCM/CORP/1419 and National Commodities & Derivative Exchange of (I) Ltd (NCDEX) FMC Reg. No. NCDEX/TCM/CORP/0832 (hereinafter referred to as “Exchanges”), and carrying on the business of Commodities Derivatives.
- The Business Associate is desirous to work as an Authorized Person with the Member and has represented to the Member that he has requisite infrastructure and competence to the satisfaction of Member to work as intended and will cater to the requirements pursuant to the rules framed by the Member.
- The Business Associate is desirous to provide the required business premise situated at \_\_\_\_\_ along with all infrastructural facilities i.e. trained staff, computer with power back up, Television, furniture, connectivity, mode of communication etc. to the Member to conduct of business efficiently.
- Member, for the purpose of setting up their branch, have agreed to use and occupy the said premise along with infrastructure.

\_\_\_\_\_  
[ Swastika Commodities Pvt. Ltd. ]

\_\_\_\_\_  
[ Business Associate ]

**NOW THEREFORE** this agreement witnessed and it is hereby agreed by and between the parties hereto as under: -

**1. GENERAL**

The Business Associate shall, within the scope of the authority given under these presents, introduce and refer the clients/ authorized persons/channel partners, who are desirous of dealing through the Member and to participate in various services, offered by Member from time to time and will not solicit business of any other Member broker. The Business Associate will do ethical business practice and follow all the rules and regulations laid down by the Member.

**2. DEPOSIT**

The Business Associate will submit and maintain an interest free Security Deposit of Rs. \_\_\_\_\_/- (Rupees \_\_\_\_\_ only) with the Member in the form of cash and/or securities as per following schedule:

1. Initial Deposit : Rs. \_\_\_\_\_ Cheque No. \_\_\_\_\_ Date \_\_\_\_\_
2. Shares and Securities of Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_ )  
(Securities shall be valued as per exchange norms)
3. Monthly Deduction from generated revenue : \_\_\_\_\_

(Sign.)

**3. DEALING AND TRADING SERVICES**

The Business Associate will introduce clients to the Member and will provide the dealing and trading services to them on the trading platform of the Member established in the premises of the Business Associate. The Business Associate will execute the trades and manage the margins/limits/risk/loss of his/their clients. Further the Business Associate will introduce minimum \_\_\_ clients per month/quarter.

The Business Associate will fill the introduction and witness column in the client Registration form of all the clients introduced by him.

**4. SERVICE CHARGES**

The Member will reimburse Service Charges for different services and infrastructure provided by the Business Associate as per Annexure-I. The amount of service charges can renegotiated and changed as per mutual consent and MEMBER has its right to increase or decrease or withdraw the same according to the services provided by the Business Associate.

The Business Associate agrees that Service Charges paid by the Member shall be final and the Business Associate shall not dispute for the same.

Payment of service charges: The service charges shall be remitted to Business Associate between 1st to 5th of the succeeding month subjected to complete compliance and no shortage (clients of respective Business Associate in debit, with no or less stock).

\_\_\_\_\_  
[ Swastika Commodities Pvt. Ltd. ]

\_\_\_\_\_  
[ Business Associate ]

## 5. LIMITS AND MARGIN

The Business Associate will collect up-front margins levied by the Exchanges from the clients in the form of approved securities or account payee cheque (payable in the name of member only) and deposit same to the Member. Further the business Associate is also responsible to collect applicable margins in future as and when payable. The Member will provide limit as per the norms of the Exchange.

## 6. OBLIGATION OF BUSINESS ASSOCIATE

- i. Immediately on signing of this Agreement, to register himself/herself/itself with FMC as a Authorised Person by completing all necessary formalities for all broking services by complying with all necessary legal and operational formalities as may be required by the FMC, Commodity Exchanges and / or any other regulatory authority.
- ii. To make good to the Member, the defaults made by clients introduced by or doing business through the Business Associate, upon demand in that behalf by the Member and until the Business Associate pays such amounts or causes the clients to pay such amounts, the Member may without prejudice to its other rights and remedies under law as against the client and the Business Associate and in its sole discretion withhold either in full or in part any service charges, brokerage and other sums due and payable by it to the Business Associate under these presents and/or adjust such amounts in default against the said amounts payable by the Member to the Business Associate. It is agreed that the withholding or non-payment of service charges, brokerage and other amounts pursuant to this clause shall not be regarded as breach of Business Associate's obligations under this Agreement.
- iii. That the Member or its authorised representative / agent shall have the right, at all times, to inspect / review all books of accounts including but not limited to all supporting vouchers, invoices and delivery notes and such other records as it may require, without prior notification to the Business Associate. The Business Associate agrees that it shall assist the Member or its authorised representative / agent in undertaking such inspection. Such inspection shall, however, be carried out during business hours only.
- iv. The Business Associate shall not send any communication to the clients on its letter heads as the representatives of Member. The Business Associate shall also refrain from sending any SMSs, reports, messages etc. to its clients which does not have prior approval of the Member. Any loss arising out of this action of the Business Associate shall amount to misconduct and all losses / damages shall be recoverable from the Business Associate.
- v. The Business Associate shall ensure that the e-mail Id's and mobile nos. of all the respective clients are submitted / attested in the KYC, so as to enable Member to send Digital Contract Notes, Ledger Statements and other Circulars, etc to all clients directly from Head Office.
- vi. The Business Associate possesses the requisite knowledge of the operating systems, procedures & practices prevalent in the securities market and also those specific to Member, and agrees to accept and abide by them. The Business Associate is fully aware that it will be the responsibility of the Business Associate to maintain safe custody and strict confidentiality of the Login ID's and Passwords for various Trading Terminal/s, Back office terminals, Research or any related online access to Information system of Member. The Business Associate shall be responsible for any consequences including financial loss arising due to negligence or misuse in this regards.

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[ Swastika Commodities Pvt. Ltd. ]

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[ Business Associate ]

## **7. RESTRICTION ON BUSINESS ASSOCIATE**

During the continuance of this Agreement, the Business Associate agrees:

- i. Not to do or omit to do or permit or suffer any act or thing which may bring disrepute to the Member or damage or conflict with its business interests.
- ii. Not to provide any extension of any Trading Terminal provided by the Member.
- iii. Not to send any communication, electronically or physically prepared by the Business Associate as his own, to the clients as a representative of Member.
- iv. Not to engage whether by itself or in association with any other person, firm or company, in any capacity whatsoever in any business or render services specified hereunder or similar nature thereto.
- v. Not to be interested or concerned in any business which in the sole opinion of the Member is similar to or competitive or in conflict with the business of Member.
- vi. Not to employ or seek to employ any person who is at that time or has at any time previously been employed by the Member, and not to solicit customers or former customers of the Member nor divert or seek to divert any customer from the Member.
- vii. Not to collect any amount other than account payee cheque/demand draft/pay order in favour of the member and not to deal in CASH.
- viii. Not to get transferred, client's share/securities in any demat account, other than the member's account taken as a representative of the Member.

## **8. LOSS AND DEFAULT**

The Business Associate will manage the risk as whole and shall take the responsibility to indemnify the Member for any type of losses, defaults incurred directly and indirectly to the Member by the clients introduced by the Business Associate.

The Business Associate is liable to make good, all the losses incurred by any of the defaults made by clients introduced by or doing business through the Business Associate, upon demand in that behalf by the Member and until the Business Associate pays such amounts or causes the clients to pay such amounts, the Member may without prejudice to its other rights and remedies under law as against the client and the Business Associate and in its sole discretion, withhold either in full or in part any service charges, brokerage and other sums due and payable by it to the Business Associate under these presents and/or adjust such amounts in default against the said amounts payable by the Member to the Business Associate. Member shall be entitled to charge interest up to @ 24% per annum to the Business Associate on the amount remain outstanding and it shall also be entitled to confiscate or adjust the security deposit and sell the securities; if any lying with the member, against any outstanding belongs to the Business Associate.

## **9. REFUND OF DEPOSIT**

In case of surrender of the terminal or stopping of the business by Business Associate, Member will refund the interest fee security deposit after adjusting the applicable processing fees and any other relevant expenses.

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[ Swastika Commodities Pvt. Ltd. ]

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[ Business Associate ]



**10. TERMINATION OF AGREEMENT**

The agreement can be terminated from either side by giving 30 days' notice. However, the Member reserves the right to immediately terminate the agreement if the Business Associate or the clients managed by him fail to comply with the rules, regulation and by laws of FMC, MCX or NCDEX and terms and conditions laid down by the Member and doing any activity which is detrimental to the business of the Member.

**11. JURISDICTION**

Courts in Indore shall have exclusive jurisdiction to entertain and try all disputes arising out of this agreement by and between the parties hereto. The parties agree to exclude all Courts outside Indore from the purview of this Agreement.

**IN WITNESS WHEREOF THE PARTIES HERETO HAVE HEREUNDER SET AND SUBSCRIBED THEIR RESPECTIVE HAND AND SEALS THE DAY AND YEAR FIRST HEREINABOVE WRITTEN**

**Signed and Delivered by the within named Member, M/s. Swastika Commodities (P) Ltd.**

**Signed and Delivered by the within named Business Associate,**

By \_\_\_\_\_  
(Authorised Signatory)

By \_\_\_\_\_  
(Authorised Signatory)

**Witness 1:**

**Witness 2:**

Signature:

Signature:

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Date :**

**Place :**

### ANNEXURE - I

#### **Brokerage Sharing / Service Charges :**

The service charges shall be remitted to business associate as per following details :

Segment	Swastika Share	Authorised Person Share	Minimum to Swastika	Minimum to Client
COMMODITY				

(Sign.)

- The above service charges sharing are decided at lower rate on the basis of the business/ turn over commitment given by the Business Associate. The Member will review the business after \_\_\_\_\_ months, and on the basis of review, sharing will be changed, (if the turnover commitment is not fulfilled), and the new sharing will be as per following:
- If the committed turnover i.e. of Rs. \_\_\_\_\_ per day/month is not achieved within \_\_\_\_ months, than \_\_\_\_\_% on square up and \_\_\_\_\_% on delivery transactions will be payable to the Member.
- Further, if the Member's share is less than Rs. \_\_\_\_\_ per month, than \_\_\_\_\_% of gross revenue or \_\_\_\_\_% on square up and \_\_\_\_\_% on delivery transactions, will be payable to the Member.
- The difference between the total revenue generated from the clients introduced by the Business associate and above mentioned members share will be payable to the Business Associate after deducting the applicable TDS and other charges for front office license i.e. ID charges @ Rs. : \_\_\_\_\_ per segment, per month.
- There will be no sharing of service charges in Business Associate's self family code.
- In addition to above, if any client of the Business Associate trades online through internet or trades directly on the trading terminal of the Member or the Business associate does not connect the allotted CTCL ID for more than 60% of trading days for complete trading hours then 20% of gross revenue is payable to the Member towards administrative expenses.
- If the Business associate introduces any authorized person/Franchises, then \_\_\_\_\_ % of net revenue generate from the Channel Partner, is payable to the Business Associate.

\_\_\_\_\_  
[ Swastika Commodities Pvt. Ltd. ]

\_\_\_\_\_  
[ Business Associate ]

## SWASTIKA OPERATION MANUAL

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## Check List for KYC

### **1. Documents required for Individuals :**

- Copy of PAN card (compulsory)
- Two Passport size photograph
- Bank proof
  - Bank pass book with last three months transaction.
  - Current statement with cancelled cheque
- DEMAT Proof (If client have already a demat a/c with other DP)
  - Latest transaction holding statement
  - Demat client master
- Address proof
  - Voter ID card
  - Passport
  - Driving license
  - Ration card
  - Telephone/Electricity bill (Not more than 2 month old)/ UID (Aaddhar Card)

### **2. Documents required for :**

#### **A. For minor (Only for DEMAT)**

In case of a minor, in addition to above, the following documents would also be required:

- Birth certificate
- Letter signed by Guardian
- Documents required for Guardian PAN Card, Address Proof, One Photograph

#### **B. NRI clients:**

NRI clients need to provide the following documents and details:

- Address Proof (Indian & Foreign)
- Address Proof (Indian & Foreign)
- Bank Proof indicating type of account as NRI/NRE/NRO
- PIS Letter (Issued by bank)
- PAN card
- FEMA declaration
- Copy of Passport
- Trading authorization format on Rs.20/ stamp

In case of client trade in future and option segment, the following documents would be required:

- Application for allotment of NRI code with passport
- Application for allotment of NRI code with passport

#### **Remarks:**

- If client is out of India than photocopies of all the KYC documents/proofs and PAN card are attested by the Indian Embassy / Consulate General / Notary Public / Any Court /Magistrate / Judge / Local Banker in the country where the NRI FN resides.
- All the documents should be self attested.

### **3. Documents required for HUF Account :**

- PAN Card (HUF & Karta)
- Address & Bank Proof of HUF
- Photograph of Karta affixed with signature and seal
- HUF declaration letter

- HUF stamp is required wherever the client signs on the KYC & documents
- HUF POA format (if client want to have POA option)

**4. Documents required for CORPORATE Accounts :**

- PAN card of company and authorized signatory
- Bank proof of company
- Address proof of company and authorized signatory
- DEMAT account proof of company (if client have demat a/c with other DP)
- Photograph of authorized signatory
- Memorandum of Association of company
- Last two years Balance sheet of company
- Latest Shareholding pattern of company
- Board resolution (On letter head)
- List of directors with DIN no. (On letter head)
- Certificate of Incorporation
- Corporate Authorized letter

**5. Documents required for PARTNERSHIP Accounts :**

- PAN card of Partners & Firm
- Bank proof of Firm
- DEMAT account proof of firm ( If only trading account is opened)
- Photograph of Authorized Signatory and other Partners.
- Address proof (Partners & Firm)
- Partnership Deeds
- Last 2 years balance sheet
- Partnership declaration letter (On letter head)

**In case of, partnership account, DP will open the DEMAT a/c in the name of partners:**

- PAN card of partners
- Address proof of partners
- Bank Proof
- Photograph

For any further clarification please contact : 0731-6644236

**KYC Account Opening Charges**

Particulars	Normal Scheme	Deposit Scheme (Refundable)	Deposit Scheme (Non Refundable)
Trading (NSE + BSE)	₹ 100	₹ 100	
Demat	NIL	NIL	
POA	₹ 150	NIL	
Demat AMC	₹ 250 (Extra Ser. Tax)	NIL	
Deposit a) Individual & HUF b) Entities other than above Mentioned	_____	₹ 2000 (Refundable)* ₹ 6500 (Refundable)*	1000 + (ST) Non Refundable 3500 + (ST) Non Refundable

\*Notes- Exit load Rs.399/- (Rs.599/- Entities other than Individual and HUF) on closing demat account in First year.  
If Margin amount of Rs. 5000/- will be maintained than no amount of Account opening will be deducted.

<b>Commodity</b>	
Trading Account	₹ 100
<b>Currency</b>	
NSE, MCX-SX	₹ 100

**Slip Charges**

Auto Pay in Through POA	0.03% (Min. ₹ 11/-)
Within Swastika Group	0.03% (Min. ₹ 11/-)
Outside Swastika Group	0.04% (Min. ₹ 20/-)

**Online Trading Platforms**

Swastika Provides Choice of Best Trading Softwares for Trading to its Online Clients.

**Web Enabled Trading Platform**

( Accessible from anywhere through Internet providing mobility & Ease )

On-line Platform	Trading Available at Segments	Applicable charges	Software Provide / Developed by :
<b>SILVER Lite (Odin)</b>	Nse-Bse-F&O-Mcx-Ncdex Currency	<b>Free of Charge</b>	Financial Technologies

**EXE Based Trading Platform**

( Best for High Volume Traders, Jobbers, Arbitrageurs )

On-line Platform	Trading Available at Segments	Applicable charges ( Rental Scheme )	Alternatively Brokerage Scheme
<b>ODIN DIET</b>	Nse-Bse-F&O-Mcx-Ncdex Currency	<b>Free of Charge</b>	Free of charge on achieving Rs. 1500/- (500/- per month) Brokerage in quarter per segment , net to company or 5000/- fund need to be maintained
<b>NOW ( Neat On Web )</b>	NSE-BSE-F&O-NSE Currency	<b>Free of Charge</b>	NA

**I-WIN** \* Same as Odin Diet.

For Activating Online Trading Platform just Log in to our Website : [www.swastika.co.in](http://www.swastika.co.in) & place request for online trading through Client Service Option at our home page. Pl mention the Trading platform & segments you want to activate.

Client shall receive their login id & password within 1-2 working days at your email address registered with us. For Exe based Platform you may download relevant Exe setup through Download Section by accessing FTP and Run.

For any Assistance Please feel free to Contact : 0731-6644152/153 or 6644159/160 or mail us at [online@swastika.co.in](mailto:online@swastika.co.in).

**Mobile Trading**

Swastika also provide Mobile trading facility to all its clients **giving ease of Trading on the Move** in all Segments. In order to activate the same Client may mail us at [online@swastika.co.in](mailto:online@swastika.co.in) or give your request thr. Service request option from our Website or you may call at above given numbers.

### **Our Bank Account Details**

#### **FOR SUB BROKER DEPOSIT : (IN FAVOUR OF) SWASTIKA INVESTMART LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK	004105003229	ICIC0000041	MALAV PARISAR A.B.ROAD

#### **FOR SUB BROKER DEPOSIT : (IN FAVOUR OF) SWASTIKA COMMODITIES PVT. LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK (COMM. HO A/C)	004105008728	ICIC0000041	MALAV PARISAR A.B.ROAD

#### **• EQUITY**

##### **A. NSE SEGMENT : (IN FAVOUR OF) SWASTIKA INVESTMART LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK	004105000271	ICIC0000041	MALAV PARISAR A.B.ROAD
HDFC BANK	00360340003304	HDFC0000036	TRADE HOUSE SOUTH TUKOGANJ
STATE BANK OF INDIA	30309960162	SBIN0000387	GPO BRANCH
IDBI BANK	001103000001731	IBKL0000382	RATLAM KOTHI
AXIS BANK	043010200067360	UTIB0000043	Y.N. ROAD KAMAL PALACE
PUNJAB NATIONAL BANK	3238002100011205	PUNB0323800	INDIRA COMPLEX
BANK OF INDIA	880720110000319	BKID0008807	R.N.T. MARG SILVER MALL

##### **B. BSE SEGMENT : (IN FAVOUR OF) SWASTIKA INVESTMART LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK	004105003228	ICIC0000041	MALAV PARISAR A.B.ROAD

##### **C. CURRENCY SEGMENT : (IN FAVOUR OF) SWASTIKA INVESTMART LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK (NSE Currency)	004105011890	ICIC0000041	MALAV PARISAR A.B.ROAD
ICICI BANK (MCX SX Currency)	004105011997	ICIC0000041	MALAV PARISAR A.B.ROAD
ICICI BANK (PMS A/C)	004105012338	ICIC0000041	MALAV PARISAR A.B.ROAD

##### **D. DP SEGMENT : (IN FAVOUR OF) SWASTIKA INVESTMART LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK (CDSL)	004105008880	ICIC0000041	MALAV PARISAR A.B.ROAD
ICICI BANK (NSDL)	091605000507	ICIC0000041	MALAV PARISAR A.B.ROAD

#### **• COMMODITY**

##### **A. MCX SEGMENT : (IN FAVOUR OF) SWASTIKA COMMODITIES PVT. LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK	004105008729	ICIC0000041	MALAV PARISAR A.B.ROAD
HDFC BANK	00360340003193	HDFC0000036	TRADE HOUSE SOUTH TUKOGANJ
STATE BANK OF INDIA	32080754740	SBIN0000387	G.P.O. BRANCH
PUNJAB NATIONAL BANK	3238002100011214	PUNB0323800	INDIRA COMPLEX NAVLAKHA
AXIS BANK	043010200067193	UTIB0000043	Y.N. ROAD KAMAL PALACE
IDBI BANK	382103000000073	IBKL0000382	RATLAM KOTHI
BANK OF INDIA	880720110000320	BKID0008807	R.N.T. MARG SILVER MALL

##### **B. NCDEX SEGMENT : (IN FAVOUR OF) SWASTIKA COMMODITIES PVT. LTD.**

BANK NAME	ACCOUNT NO.	IFSC CODE	BRANCH NAME (Indore)
ICICI BANK	004105008737	ICIC0000041	MALAV PARISAR A.B. ROAD
HDFC BANK	00360340003063	HDFC0000036	TRADE HOUSE SOUTH TUKOGANJ

**Note :** 1. Please do confirm Bank account No. from H.O. (Accounts Dept.).

2. The Branch must assure that the Cheque received from clients are from clients own account only, & not from of any third party.

3. Please make payment through A/C Payee Cheque only, Swastika takes no responsibility for any cash transactions.

## Demat Account Details For Payin of Securities

### Pool Account Details of Swastika Investmart Limited

SEGMENT	DP TYPE	CM NAME	CM ID
NSE	NSDL	SWASTIKA INVESTMART LIMITED	IN565996
BSE	NSDL	SWASTIKA INVESTMART LIMITED	IN655953
NSE	CDSL	SWASTIKA INVESTMART LIMITED	1204370000100532
BSE	CDSL	SWASTIKA INVESTMART LIMITED	1204370000098288

Note : Third Party Delivery Slips will not be accepted.

## Computer Hardware And Software Requirements

1. **Minimum Hardware Required:**
  - Pentium IV Processor (Core 2 Duo or Dual Core Or Higher version )
  - 2 GB RAM                      •                      150 GB HDD
  - DVD ROM                      •                      Pen Drive
2. **Minimum Software Required:**
  - Windows XP Service Pack 3
  - VM Java
  - Java Run Time Environment
  - Internet Explorer Version 6.0 (Service Pack -1)
3. **Connectivity Requirement :**
  - Broadband connectivity (1 MBPS or above)
4. **U.P.S. Requirement :**
  - For 1-3 Systems    : 1 KVA
  - For 4-5 Systems    : 2 KVA
5. For Downloading ODIN, NOW & Messenger; Please visit [www.swastika.co.in/Downloads](http://www.swastika.co.in/Downloads)

## Facilities available through our website : [www.swastika.co.in](http://www.swastika.co.in)

1. Margin list for F&O & commodities                      : **Span Margin section.**
2. Service request (for)    : **Service request option.**
  - (i) New Back office ID
  - (ii) Back Office Password reset.
  - (iii) Activation of Online Trading ID
  - (iv) Activation of Mobile Trading facility
  - (v) Other requests
3. Swastika research report    : **Research section**
4. Data of more then 5000 Companies                                      : **Market Data Option**
5. Facilities to download Trading & Messenger software & various forms : **Download section**
6. Online Trading    : **Online Trade Section**
7. Back Office access    : **Back Office Option**



## **Swastika Intelligence Group: Brings Host of Research Services... Just for You!**

SIG, the research division of Swastika is a team comprising of dedicated industry experts who with their extensive knowledge in the field of research serve the clientele with wide variety of sector, company, economy and quantitative research. SIG Research offers wide services our offerings include:

### **1) Bazaar Hulchul Swastika Chat Room/Messenger.**

This is one of the premium services through which you are in direct touch with the research team. The Sub brokers, clients and traders get the real time updates of the market happenings. The research team through this portal offers you the real time news, market updates, fundamental, technical and derivative recommendations. Through this facility, research is just at the right click of your hand where you can ask separate queries regarding the stocks too.

To avail this facility contact:  
Sheetal Duraphe - 0731-6644161-162  
E-mail: sheetalD@swastika.co.in

### **2) SMS Tips.**

We offer our research based recommendation through SMS so that you can make money on the move! SMS facility includes Intra-day advice, BTST calls, Market Updates, Commodity Calls, Currency advice and Delivery Updates picks as well. Other than calls you will have benefited by important news, major economic event updates and important circulars.

To avail this facility contact  
Shikha Upadhya - 0731-6688003  
E-mail: research@swastika.co.in / shikhaupadhya@swastika.co.in

### **3) Research Email ( EMAIL ALERTS) :**

If you are an internet - savvy investor then we have more to offer you! Real time market news, Stock updates, International news, Bulk deals and all SIG reports right in your Inbox. Through the E-Mail Facility you can avail the research reports like the Morning & Evening Newsletter, Pivot Report and Technical Outlook on Daily Basis, Circulars on major corporate actions, F&O Adjustment etc. Special Reports on Sectors & Company Specific Ideas.

To avail this facility contact:  
Shikha Upadhya - 0731-6688003  
E-mail: research@swastika.co.in / shikhaupadhya@swastika.co.in

### **4) Swastika Updates Journal:**

Swastika Updates is the Monthly Newsletter which contains special articles and reports. The reports comprises of all types be it fundamental, technical or economic. As a Swastika client you are eligible to receive our investment research magazine Swastika Updates at your door step.

To avail the facility contact:  
0731-6644444 / 333 / 222 / 111  
E-mail research@swastika.co.in

## R M S Policy for Equities & F & O Segment

### A. Intraday & Delivery Limits against fund and securities:

Limit will be provided against the available fund (Net balance in ledger) and available securities (After haircut value of liquid stock in pool and DP, subject to DP Account with specific Power of Attorney (POA) in favour of Swastika) as per following details:

1. Limits for front line stocks: 8 Times of available fund and approved securities after VAR for intraday trading and 4 Times for delivery trading. The debit against delivery buying must be cleared within T+2 days.  
 Ø Example: If a client is having credit balance in ledger of Rs. 10,000 and value of stock in pool is Rs. 10,000 (33% VAR) and value of POA stock in DP is Rs. 25,000 (20 % VAR), then the client can avail limit up to Rs. 293,336 (8 \* 36,666) (10,000 + 6,666 + 20,000) for intraday trading and Rs. 1, 46,664/- (4\* 36,666) for delivery trading.
2. Limits for other stocks (Illiquid group or Trade to Trade stocks): One Time of Credit Balance available in ledger for intraday trading or delivery, no limit will be provided against the available illiquid or Trade to Trade Securities, limits can be given on the basis of previous track of individual client.
3. Limits for margin in Futures: 3 Times of available fund and securities for intraday and 1 Time for carry forward Position may be squared off on T day, if real time MTM loss for the day is equal or more than 75 % of the available fund and real time value of securities (if decreasing).
4. Limits for Option: One time of available fund and 50 % of available securities to buy option premium and to sell far month or illiquid option. Further to sell an option of current month and for strike price near to spot price, limit will be provided as per limits in Futures (As per Point 3).

### B. RMS Selling Policy:

RMS Division may sell the stock any day after T+2 days on non-payment, without any prior information to Client/Business Partner/Branch, but in case of following conditions selling will be done per the below mentioned criteria:

1. In case of continuous debit for last 5 days: RMS division can/will sell the stock on or after T+5 day.  
 Ø Example: if Debit comes in any client code on Monday and it is not cleared by Saturday, then RMS division will sell the stock on next Monday (up to the value of Debit Balance).
2. In case Delivery based buying is more than 4 times of available fund and securities and cheque status / payment is not received on T+1 day: RMS division will sell the stock on T+2 day.  
 Ø Example: if Ledger Balance is Rs. 5000 (Credit) & total stock holding after VAR is 5000 then in case, delivery based buying has been made for more than Rs. 40000 (i.e. 10000 X 4) on Monday and cheque status / payment not cleared by Tuesday then stock will be sold on Wednesday . However if in the opinion of RMS division, such open position attracts risk more than normal, it may sell the stock on T+1 day morning itself i.e. on Tuesday itself.
3. In case of M to M Loss (in Futures and Cash Segment) for the day is equal or more than 75 % of the available fund and securities: In the absence of cheque status/payment by T+1 day, RMS division can sell the stock up to the amount of net loss on T+1 day.  
Example: if Ledger Balance is Rs. 10000 (Credit) and available securities is of Rs. 7000 on Monday and M to M Loss for the day is Rs. 15000, then in absence of any cheque status/payment by T+1 day , RMS division will sell stock up to Rs. 5000 on Tuesday.
4. In case Client's Cheque returns: If a client's cheque is returned by bank due to insufficient funds, and the client's ledger balance is negative, then RMS division will sell the stock up to the amount of negative ledger balance, further a penalty of Rs. 500/- or 1% of the cheque returned amount (whichever is less), will be debited.
5. F & O Position can be squared up by RMS division if margin is not available as per the requirement of exchange and margin shortage penalty levied consecutively for 3 days or more in a month.

**C. Deposit of Margin in Future and Option Segment:**

As per exchange norms, trading member is required to deposit Margin (SPAN and Exposure both) in the form of cash and securities (collateral) to exchange wherein cash component should be at least 50% of the total Margin. Company has set norms for clients to fulfill the Margin requirement by way of cash and collaterals (approved securities after VAR) according to the total Margin amount as per following:

1. **Rs. 5 Lacs or below:** If total margin is 5 lacs or less than client can maintain 100% Margin in the form of cash or collaterals (approved securities) or combination.
2. **Between Rs. 5 to 10 Lacs:** Approved securities will be considered up to 75 % of total Margin amount and 25% of total Margin must be in cash form (Credit Balance in Ledger).
3. **Above Rs. 10 Lacs:** Approved securities (Collaterals\*) will be considered against the 50 % of total Margin amount and 50% of Margin must be in cash form.

**\*Composition of collaterals submitted against Margin: composition of collaterals is depend on the amount of margin, as mentioned below -**

- i) **Between Rs. 50 Lacs -1 Crore:** All securities must be approved means must be as per exchange list and at least 15% of collateral / shares must belong to NIFTY or SENSEX.
- ii) **Between Rs. 1 Crore -2 Crore:** Approved securities will be considered up to against the 50 % of Margin amount and at least 25% of stocks must belong to NIFTY or SENSEX.
- iii) **Between Rs. 2 Crore -3 Crore:** Approved securities will be considered up to against the 50 % of Margin amount and at least 50% of stocks must belong to NIFTY or SENSEX.
4. **Rs. 3 Crore & above:** Approved securities (At least 50% of stock must belong to NIFTY or SENSEX) will be considered against/ up to the 40 % of Margin amount and 60 % of Margin must be in cash form.

**D. Levy of Delay Penalty:**

The selling in client accounts with continuous debit may be done by RMS Division on any day after T+2 days and for all the debits outstanding for more than T+2 days the company will charge delay penalty.

1. Delay Penalty shall be chargeable @18% p.a. or more up to 36% on outstanding debit and margin shortage from the date of debit/ shortage.
2. If the monthly Net Revenue to Swastika of any client is equal to or more than 2 times of the Delay Penalty chargeable in that month, then Delay Penalty will not be charged to such client code.
3. Family accounts will be considered only if duly signed family letter verified by a CA is submitted for the relatives as per the Exchange norms.

**E. Procedure for Calculation of Delay Penalty**

If client's Margin amount in Future and Option Segment is nil than the delay penalty will be calculated on FA Actual Balance (on Ledger debit balance). If client's Margin Amount in Future and Option Segment is outstanding and it is:

- (a) **Below Rs. 5 Lacs:** Client can deposit total (SPAN and Exposure) Margin in the form of available approved securities.
  - i. Delay Penalty will be calculated on total short Margin amount and ledger balance, if debit {i.e. if (Margin - 100% of available securities) + FA Actual (ledger balance) < 0}.
- (b) **Between Rs. 5 to 10 Lacs:** Available securities will be considered against 75 % of total Margin amount, If still margin is short after considering available approved securities, then it will be added to 25% of Margin amount (required in cash) and Delay penalty will be calculated on the Total short margin amount + FA Actual (ledger balance).  
If there is no margin shortage after considering the available approved securities, then Delay penalty will be calculated on 25% of total Margin amount + FA Actual.
- (c) **Above Rs. 10 Lacs:** Available securities will be considered against the 50 % of total (SPAN and Exposure) Margin amount.
  - i. If still margin is short, then it will be added to 50% of Margin amount and delay penalty will be calculated on the total short margin amount + FA Actual
  - ii. If there is no margin shortage after considering the available approved securities, then delay penalty will be calculated on 50% of Margin amount + FA Actual

- (d) **50 Lacs- 1 Crore:** Available securities (At least 15% of stock belongs to NIFTY or SENSEX) will be considered against the maximum 50 % of Margin amount.
- If still margin is short, then it will be added to 50% of Margin amount and delay penalty will be calculated on the total short margin amount + FA Actual.
  - If there is no margin shortage after considering the available approved securities, then delay penalty will be calculated on 50% of Margin amount + FA Actual.
- (e) **1 Crore -2 Crore:** Available securities (at least 25% of stock belongs to NIFTY or SENSEX) will be considered against the maximum 50 % of Margin amount.
- If still margin is short, then it will be added to 50% of Margin amount and delay penalty will be calculated on the total short margin amount + FA Actual.
  - If there is no margin shortage after considering the available approved securities, then delay penalty will be calculated on 50% of Margin amount + FA Actual.
- (f) **2 Crore -3 Crore:**
- Available securities (at least 50% of stock belongs to NIFTY or SENSEX) will be considered against the maximum 50 % of Margin amount.
  - If still margin is short, then it will be added to 50% of Margin amount and delay penalty will be calculated on the total short margin amount + FA Actual.
  - If Margin amount in (i) above is credit, then delay penalty will be calculated on 50% of Margin amount + FA Actual.
- (g) **3 Crore & above:**
- Available securities (at least 50% of stock belongs to NIFTY or SENSEX) will be considered against the maximum 40 % of Margin amount.
  - If still margin is short, then it will be added to 60% of Margin amount and delay penalty will be calculated on the total amount + FA Actual.
  - If Margin amount in (i) above is credit, then delay penalty will be calculated on 60% of Margin amount + FA Actual.

**F. Margin Shortage Penalty:**

- Margin shortage Penalty is levied by exchange on the shortage of SPAN Margin. It will be levied as mentioned below and debited to clients accounts.

'a'	Per day penalty as percentage of 'a'
(<= Rs.1 lakh) or (<= 10% applicable margin)	0.5
(>= Rs.1 lakh) or (>= 10% applicable margin)	1

Where a= Short collection /non collection of margins per client per segment per day.

- If short/non-collection of margins for a client continues for more than 3 consecutive days, then penalty of 5% of the shortfall amount shall be levied for each day of continued shortfall beyond the 3rd day of shortfall.
- If short/non-collection of margins for a client takes place for more than 5 days in a month, then penalty of 5% of the shortfall amount shall be levied for each day, during the month, beyond the 5th day of shortfall.
- Notwithstanding the above, if short collection of margin from clients is caused due to movement of 3% or more in the index (close to close value of Nifty/Sensex for all equity derivatives) and in the underlying currency pair (close to close settlement price of currency futures, in case of all currency derivatives) on a given day, (T day), then, the penalty for short collection shall be imposed only if the shortfall continues to T+2 day.

**Actual Settlement Policy:-**

At the time of quarterly settlement, the securities shall be considered after 50% haircut or Exchange VAR whichever is higher against the margin obligations and outstanding ledger balance of the client.

## RMS Policy for Commodities Trading

### A. Intraday & Delivery Limits against fund and securities:

Limit will be provided against the available fund (Net balance in ledger including ledger balance of Equity & Currency Segment) and available securities (After haircut value of liquid stock in pool and DP, subject to DP Account with specific Power of Attorney (POA) in favour of Swastika) as per following details:

1. Intraday Margin Limits will be 3 times of the available fund.
2. If client has bulk open position in single commodity having Margin Rs. 20 Lacs or more, then intraday Margin limit will be 2 times of available fund and securities and one time for carry forward. Position can be carried forward against the available balance in the commodity trading account only while intraday will be on the basis of the available balance in commodity and equity accounts maintained with Swastika commodities Pvt. Ltd and Swastika Investmart Ltd respectively.
3. Position may be squared off on T day, if real time MTM loss for the day is equal or more than 75 % of the available fund and real time value of securities (if decreasing).
4. Carry forward of position for next day, available fund should be equal or more than the total Margin required i.e. carry forward Margin limit will be 1 time of available fund.
5. If MTM loss is 25% or more of available fund & securities then same will be deducted to calculate fund for carry forward position and carry over limit 1 time of net fund will be allowed after deducting the MTM loss of trade day.

### B. Margin, Delay Penalty and other terms in Commodity Segment:

1. No carry forward position is allowed to any client against the deposit of his Franchisee or Sub-broker.
2. If any add on Margin, cash Margin or delivery Margin levied by exchange same has paid by client.
3. If Margin carried more than available fund & securities then delay penalty @ 18% p.a. or more shall be levied on the total of debits balance and margin shortage.
4. Clients can also pledge approved shares or commodities (in D-mat form) for Margin.
5. Position will not be carry forward on the basis of un cleared cheque of more than 25000/-
6. For Demand Draft, counter foil of DD receipt is required (scan copy should be mailed). If Demand Draft is of Rs.50,000/- or more then client's bank statement or letter of bank is also required.
7. For new account, limit will be given on the basis of clear fund only.

### C. Margin Shortage penalty:

1. Margin shortage Penalty shall be levied as mentioned below. The penalty shall be charged to the respective client's accounts.

'a'	Per day penalty as percentage of 'a'
(<= Rs.1lakh) or (<= 10% applicable margin)	0.5
(>= Rs.1lakh) or (>= 10% applicable margin)	1

Where a= Short collection /non collection of margins per client per segment per day.

2. If non /short of collection of margin of clients continue for more than three consecutive days after T+2 working days, then penalty for 1% of the shortfall amount shall be levied for each continue short fall. In case of non/short collection of initial margins, the above penalty structure would be applicable from T day.
3. With respect to the repeated defaulters, who default 3 times or more during a month, the penalty would be 5% of the shortfall in such instances.

Please note that RMS Division / Company shall not be liable for any loss arise due to RMS selling as well as loss in case where RMS Selling may not be done as mentioned above by RMS division, due to any reason.

The Company reserve the right to change the above policies any time according to market condition, in general or in particular case within the Exchange /SEBI regulations/ guidelines.

**Swastika Back Office Facility**

(Access Link : [www.swastika.co.in](http://www.swastika.co.in))

1. **Fout Report ( All Clients / family wise Balance & Stock Valuation Report ) : Path =>** ( Login from Nse Capital Mkt segmt ) Share Accounting => Management Report => RMS => New Fout
2. **All Segment Party Ledger : Path =>** ( Login from Nse Capital Mkt segmt for Eq/Fno & fr. MCX for commodities ) => Finance Report => Daily Report => All Segment Party Ledger  
( By Right click on Bill Amount you may get option of Viewing bill from Ledger itself )
3. **Sauda Summary, Turnover Brokerage & Net Position ( For Fno / Comm. / Currency ) :**
  - A. **For NSE & BSE =>** Share Report => New Report => New Levis / New Sauda Summary / New Turn over brokerage
  - B. **For F&O / Commodities / Currencies =>** Share Report => Settlement Report => MIS Report => Sauda Summary / Turnover / Net Position
4. **Holding Report =>** ( Login from Nse Capital Mkt segment ) Share Accounting => Management Report => RMS => Rms Stock Report  
( The Report is to be viewed for last trading date. **Actual delivery from Exchange may be pending for all buyings made for previous 1-2 days whose Payout is pending fr. Exchange, so pl confirm the delivery status of all such latest buyings** )

You shall receive your back office id at your registered email address , or you may send request thr. Website service request option. You may also provide client wise or family wise back office id to all your clients. For further assistance in this regard you may pl contact at: Mrs. Rakhi Saxena 0731-6644163, 164.

**D.D. Format (To be given along with all payments made through D.D.)**

To,  
**Swastika Investmart Ltd.**  
48, Jaora Compound,  
MYH Road,  
Indore

Dear Sir/Madam,

**Date:** \_\_\_\_\_

**Re: Declaration & Reason for issuing DD/PO**

I/ We \_\_\_\_\_ having a Trading Account in the name of \_\_\_\_\_ bearing \_\_\_\_\_ as a Client Code with your \_\_\_\_\_

(Branch/ Sub Broker.)

I enclosed herewith a DD/PO no. \_\_\_\_\_ dated \_\_\_\_\_ for Rs. \_\_\_\_\_

(Rupees \_\_\_\_\_ ) from Bank Account No. \_\_\_\_\_

which has been issued at \_\_\_\_\_ (Name of the Bank/ Branch) in your favor for depositing

it in my Trading account maintained with you.

Reason for issuing DD/PO: \_\_\_\_\_

I hereby undertake that the above D.D. is made out of my own fund, for making payment in my said Trading Account maintained with you.

Thanking You,

Yours Truly,

(Signature)  
Name: \_\_\_\_\_

(Signature of the client)  
Branch Head / Auth. Person

Enclosures: Account Statement & Scan copy of D.D.

No third party payment accepted. D.D.

## Strong Network of Swastika

Branch	Contact Number (Branch)	Branch	Contact Number (Branch)
Guntur	08636648894-95	Bhusawal	02582-321310
Vijaywada	0866- 6677481 – 482 -483-484	Jalgaon*	0257-2241971/72/73
Vishakhapattanam	0891-6661181,82,83,84	Mumbai (Andheri)	022-26254569, 68,26284401
Patna	0612 -6123225496, 97, 95	MUMBAI (Fort)	022-66330000
Raipur	0771-4043600,3193600	Thane	022-67930480, 81, 82, 83, 84
DELHI	011-30775000	NAGPUR	0712-3044100, 6688000
Ahmedabad	079-30409009	Nashik*	0253-6634171, 172, 158
Maninagar*	079-40321231,	PUNE	020-41260020-21-22-23-24-25
Paladi (Ahmedabad)*	079-40372970	Dhuliya	02562-238644/45
Gandhidham*	02836-229056	Bhubaneswar	0674-2572160,0674-2575367
Jamnagar	0288 - 2558171 /72 /73	Ludhiana	0161-5091023, 26
Rajkot	0281-3016161	Pathankot	0186-5055301-02-03
Vadodara*	02652329399/ 02652359399	Ajmer	0145-2629005-06,
Bhavnagar	0278-3001157 / 58	Barmer*	02982-220704
Ambala	0171-2640028 / 29	BHILWARA	01482- 650500/247294
Gurgaon*	0124-4233127, 28 / 4984605, 06, 07 , 4984607	Bikaner*	0151-2204135
Karnal	0184-2200430 / 2200440 / 2200450 / 2200460	JAIPUR	0141-3345000
Panipat*	0180-4000083/4002297	JODHPUR	0291-5106028, 0291-3012000
Jammu	0191- 2560020 - 21-22- 23	KOTA	0744-6633000 / 2366600
Bangalore	080-41223494,080-41223417,080- 41223412,080-41223406	Udaipur	0294-5102054
BHOPAL	0755-3345000	Hyderabad	040-66021234-35-36-37
New Market (Bhopal)	755 4700434-435	Chennai	044-30462007/2006
Bhopal (Bhel)	0755-4700438, 4700439	Gobichettipalayam	04285 – 225325 /226325/227325
Chhindwara	07162-244363-244364	Trichy	0431-4011504,604,704/ 4000302
Gwalior	8878639405	Coimbatore	0422 - 4520011
INDORE (Aerodrum Road)	0731-6644342,343	Salem	0427-4040163 / 173 / 183
INDORE (Usha nagar)	0731-3344346,347	Jhansi*	0510-2370020 / 2370320
INDORE (Annapurna)	0731-6644338,339/6644415,416	Kanpur	0512-3019407-08-09
INDORE (Malganj)	0731-6644321,322,323,324	Lucknow	0522-4106620,4106720,4063680
INDORE (Navneet Darshan)	0731-6644325,326,327,328	Varanasi	0542, 2224011 / 2224012 / 2224013 / 491 / 492
INDORE (Sapna sangeeta)	0731-6644329-332	Durgapur	0343- 2545121,101,102,103
INDORE (Vijay Nagar)	0731-6644334,335,336,337	Kolkata*	033- 40089399
INDORE (YN Road)	0731-6688000	Satara	2162228073
Indore HO	0731-3345000-499, 6644000	Itarasi	07572-405000
Jabalpur	0761-4083308	Bhillai	0788-4050092,4050093,4050094
Neemuch	07423- 229555 , 404183	Nasik (New)	0253-6634158/171/172
RATLAM	07412-407212 / 244200	Kolhapur	0231-6680320,21,22,23,24.
Sagar	07582 -223813, 07582 -404500	Bareilly	. 0581-2510683, 2510684, 2510645
UJJAIN	0734-4012829/2562014	Agra	
Ahmed Nagar*	0241-6603713/14/15	Khamgaon	07263-254557/255557
Akola*	0724-2414010	Pune (New)	020- 65607011/12/13
Amravati	0721-2567178,79	Nanded	02462-235222 / 235022
Aurangabad*	0240-2354977/2353977	Perambalur	
		Bhatinda	0164-5010811-15

**Swastika Investmart Limited**  
 Regd. Office: 1st Floor, Bandukwala Building, British Hotel Lane, Fort, Mumbai - 400001  
 Admin Office : 48, Jaora Compound, MYH Road, Indore - 452 001

**TRADING ACCOUNT DETAILS ADDITION / MODIFICATION / DELETION REQUEST FORM**

<b>Application No.</b>	<b>Date</b>	D	D	M	M	Y	Y	Y	Y
<b>Trading Code</b>									

**Account Holder Details**

<b>Name of the Client</b>	
---------------------------	--

**I/We request you to make the following additions / modifications / deletions to my account in your records.**

Details (Pl specify change of address Bank details etc.	Addition / Modification/ Deletion (Please specify)	Existing Details	New Details

**Attach an Annexure (with signature(s) if the space above is found insufficient.**

<b>Name</b>	
<b>Signature</b>	
<b>PLACE</b>	

**For Swastika Investmart Limited**

**(Authorized Signatory)**

-----=(Please Tear Here)=-----  
**Acknowledgement Receipt**



Received Account Details Addition / Modification / Deletions request as per details given below :

<b>Application No.</b>	<b>Date</b>	D	D	M	M	Y	Y	Y	Y
<b>Name of the Client</b>									
<b>Trading Code</b>									

**For Swastika Investmart Limited**

**(Authorized Signatory)**



### Head Office Department Wise Direct Numbers & Mail ID List

DEPARTMENT	CONTACT PERSON	DIRECT. No.	MAIL ID
Marketing Head	Vinit Rathi	6688033 / 034	vinitrathi@swastika.co.in
Compliance	Shikha Bansal	6644241 / 242 / 243	compliance@swastika.co.in
KYC/ Account Opening (Equity)	Seema / Dolly	6644236	kyc@swastika.co.in
KYC/ Account Opening (Commodity)	Pradya Deshmukh/Sachin Dubey	6644238	pradnya.deshmukh@swastika.co.in sachin.dubey@swastika.co.in
Demat	Shraddha Ojha/ Lokesh Soni	6644224 / 6644223	shraddha.ojha@swastika.co.in/ lokesh.s@swastika.co.in
RMS	Sheetal Duraphe	6644161 / 162	sheetal.d@swastika.co.in
Delivery ( NSE PAYIN)	Sangeeta Jadon	6644217	sangeeta.j@swastika.co.in
Delivery ( BSE PAYIN)	Smita Chaskar	6644211	smita_delivery@swastika.co.in
Account	Sunita Chourasia	6644181	sunita@swastika.co.in
HO Accounts	Punit/ Rajkumar / Madhu	6644176 / 6644172	punit.kasliwal@swastika.co.in / rajkumar@swastika.co.in/ madhu.goyal@swastika.co.in
Research Equities	Santosh Meena	6688005	santosh.meena@swastika.co.in
Research F & O Technicals	Santosh Meena	6688006	santosh.meena@swastika.co.in
Research Commodities	Amit Khare	6644133 / 134	amit.khare@swastika.co.in
Mutual Fund/ IPO	Amita Jain	6688080	amita.jain@swastika.co.in
Help Desk (Pay In/ Pay Out)	Pooja Soni	6644121	pooja.soni@swastika.co.in
HR	Mahima	6644255 / 6688013	hr@swastika.co.in
Sub Broker Coordination/CRD	Nikhil Godha	6644333/ 444	sb.helpdesk@swastika.co.in
Dispatch (Bill & Holding)	Ravi	6644261 / 262	ravi.bamniya@swastika.co.in
Branding	Deepak Jadhav	6688082	branchinfra@swastika.co.in
Reception	Jyoti Lashkarkar	6644201/202/301	jyoti.lashkarkar@swastika.co.in
NSE F&O & Currency Trading	Mahendra Chouhan	6644106,107,108,112,113	mahendra.c@swastika.co.in
IT	Ajay Dharwa/Vivek Deshpande	6644204 / 205	dharwa_ajay@swastika.co.in vivek@swastika.co.in
F&O & Currency RMS	Priti Chugh	644165 / 166 /169	priti.fno@swastika.co.in

### Emergency Telephone Numbers

DEPARTMENT	Contact Person	Mobile No.	Direct No.
SYSTEM IT	Ajay Dharwa	9993022202	6644123 / 205
SYSTEM IT	Vivek Deshpande	9827728707	6644123 / 205
NSE	Shweta	7566561717	6644101 / 103
BSE	Swati	8109867561	6644109 / 110
Future & Option & Currency	Mahendra Chauhan	9827007083	6644106 / 108
MCX/NCDEX Trading	Sunil Roopchandani	9826079988/9907333631	6644141/142/143/144
Surveillance (ODIN Admin)	Deepesh Verma/Abhishek Sharma	9755864725/9300080264	6644152 / 153
Surveillance (NOW Admin)	Ankit Laddha	9770066369	6644155 / 160
RMS	Sheetal Duraphe	9907590045	6644161 / 162
Reception (Enquiry)	Jyoti Lashkarkar	8223941114	6644000 / 6644201 / 202
Surveillance (Commodity)	Pankaj Soni/Arvind	758284159/7415464336	6644145/147

**Help Desk : 0731 - 6644111 / 222 / 333 / 444**

SAMPLE GLOW SIGN BOARD

Size : 8 x 3 Feet

Customer Care : 0731-6644444

www.swastika.co.in

॥ सर्वे भवन्तु धनिनः ॥

 **swastika investmart**  
THE WEALTH MAKERS

EQUITIES ^ DERIVATIVES ^ COMMODITIES

Business Associate : S.R. Investmart, Tel.: 3292266

SAMPLE VISITING CARD

 **swastika investmart**  
THE WEALTH MAKERS

Pratyash Kumar  
Business Associate

F-112, Amar Complex,  
Opp. Mahadev Mandir,  
Chawani, Indore  
Mobile : +91 94250 66612  
E-mail : pratyash.k@yahoo.co.in

Swastika Investmart Limited  
Principal Off. : 48 Jaora Compound, MYH Road, Indore - 452001  
☎ 0731-6644000,2706200 ✉ info@swastika.co.in 🌐 www.swastika.co.in

Group : Member of NSE, BSE, NCDEX, MCX, MCX-SX, ICEX DP : CDSL & NSDL

www.swastika.co.in

Email :

Customer Care : 0731-6644444/333

## Swastika Investmart Limited

Corp. Office : 48, Jaora Compound, M.Y.H. Road, Indore - 452 001. Ph.: 0731-6644000, Fax : 0731-6644300

Regd. Office : 1st Floor, Bandukwala Building, British Hotel Lane, Fort, Mumbai-400 001, Ph. : 022-66330000

SEBI Reg. No.: NSE : INB/F 231129736, BSE : INB 011129732, IN-DP-NSDL : 115-2015, IN-DP-CDSL : 340-2006, MCX-SX : INE 261129736, INB/F-261129739  
FMC Regn. No. : MCX : MCX / TCM / CORP / 1419, NCDEX : NCDEX / TCM / CORP / 0832